

Dielectric Measurements on Sol–Gel Derived Titania Films

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Alternating current (AC) impedance measurements were performed on 37 nm thick nanostructured sol–gel derived anatase titania films on ultrasonically cleaned (100) *p*-silicon substrates at temperatures T ranging from 100 K to 300 K over a frequency range between 20 Hz and 1 MHz. The frequency-dependent behavior of the AC conductivity $\sigma_{ac}(f, T)$ obeys the universal power law, and the values of the effective hopping barrier and hopping distance were found to be 0.79 eV and 6.7×10^{-11} m from an analysis due to the correlated barrier-hopping model. The dielectric relaxation was identified as a thermally activated non-Debye process involving an activation energy of 41.5 meV.

Key words: Metal–insulator–semiconductor device, hopping conduction, complex dielectric modulus, relaxation time

INTRODUCTION

Titania (TiO₂) has been extensively studied in thin film form for potential applications in oxide electronics such as solar cells, electronic devices, chemical sensors and photocatalysts because of its robust chemical stability under acidic and oxidative environments, wide band gap (>3 eV) and low production cost.¹ Titania exists in a number of crystalline forms, the most important of which are anatase, rutile and brookite, and the high optoelectronic properties of non-toxic oxides are found to be a phase-dependent quantity.^{2,3} Thin film formulation of the materials can be achieved by using different techniques including DC reactive magnetron sputtering⁴ and electrodeposition.⁵ The magnetron-sputtered TiO₂ layers on aluminium alloy 1050 substrates showed columnar growth with increasing crystallite sizes, with an increase in coating thickness leading to an increase in photocatalytic activity.⁶ An electrodeposited ultrathin anatase TiO₂ film on fluorine-doped tin oxide has been found to demonstrate a power efficiency of 13.6% as a blocking layer in perovskite solar cells.⁷

Sol–gel derived TiO₂ films exhibit several physically interesting characteristics dependent upon the post-deposition treatment. For example, the photo-sensitivity of sol–gel derived TiO₂ films annealed at 350°C was found to be higher than that of its powder by a factor as large as two orders of magnitude.⁸ TiO₂ films became anatase in structure when subjected to heat treatment at 600°C, and the grain sizes were found to be smaller than those found for microwave-exposed films, resulting in the blue shift in UV-visible absorption spectra.⁹ However, the sol–gel synthesis of TiO₂ nanoparticles was performed with room temperature ionic liquid 1-*n*-butyl-3-methylimidazolium hexafluorophosphate as a reaction medium, and the anatase phase was achieved without heat treatment.¹⁰ The photocatalytic efficiency increases with the increasing concentration of nanostructure TiO₂, and the degradation percent can reach 100% at the optimal catalyst concentration. Anatase TiO₂ nanowire arrays were deposited into the nanochannels of an anodic aluminium oxide template by an electrochemically-induced sol–gel method.¹¹ TiO₂ nanotubes were also prepared using the sol–gel technique for the fabrication of dye-sensitized solar cells, and the high surface area of the nanotubes produced an efficiency of 4% under Hg-Xe lamp irradiation.¹² The real part of the dielectric permittivity of sol–gel derived anatase TiO₂ cylindrical

pellets may be tuned by the application of increasing DC bias with a view to lowering barrier heights at the grain boundary. The tunability of TiO₂ nanocrystals with grain sizes of 6 nm is found to be in the order of ~85% at 100 Hz and this value is believed to be suitable for phased array antenna applications.¹³ The photocatalytic activity of sol–gel-coated porous tetragonal anatase TiO₂/polystyrene thin films, which were deposited on indium tin oxide substrates using a templating method, showed improved photodegradation of methylene blue under ultraviolet light irradiation compared to porous TiO₂ films. This enhancement in photocatalytic activity may be attributed to an increased surface area of TiO₂/polystyrene.¹⁴ Cystine-modified TiO₂ films are found to be more photocatalytically active than TiO₂ in splitting water under normal sunlight irradiation.¹⁵

Metal–insulator–semiconductor (MIS) structures were prepared using solution-processed 191 nm thick TiO₂ films sandwiched between (100) *n*-gallium arsenide (GaAs) substrates and copper (Cu) counter electrodes. These devices exhibited rectifying steady-state conduction behavior with increasing the barrier height from 0.23 eV to 0.63 eV and decreasing the ideality factor from 5.92 to 1.66 in the temperature *T* range of 50 K ≤ *T* ≤ 290 K.¹⁶

We have reported the preparation of non-porous nanostructured anatase titanium dioxide thin films by spin-coating of a spreading solution of titanium isopropoxide, ethanol and acetic acid in the molar ratio of 1:9:0.1 onto a variety of substrates, including microscopic glass slides, silicon and indium tin oxide-coated glass substrates.^{17,18} A trap-controlled space charge-limited mechanism was found to be responsible for charge transport at a high field. Optical absorption was believed to be due to an allowed indirect transition over the optical gap of approximately 3.2 eV.¹⁹ MIS configurations were fabricated by depositing 37 nm thick spun TiO₂ films on 305 μm thick (100) *p*-type silicon (*p*-Si) substrates. The surface density at the Si/TiO₂ interface and threshold voltage were estimated to be 13.3 × 10¹⁴ m⁻³ and -85 mV, respectively.²⁰ The influence of doping has been found to be important because of the change in surface topographies in the MIS structures. A two orders of magnitude increase in rectifying ratio and a simultaneous two-fold decrease of ideality factor have recently been reported for TiO₂ films doped with 0.1% zirconium 1% by mass in Al/TiO₂/*p*-Si MIS structures. No significant change was observed for interface surface density.²¹

A non-destructive alternating current (AC) impedance spectroscopic technique is regarded as being very suitable for identifying the prevalent conduction mechanism, dielectric relaxation processes, and the nature of barrier height and interfacial layer in the MIS structures, when a small AC signal is applied as input perturbation over frequency and temperature ranges.²² AC measurements were performed on 1 mm thick anatase TiO₂ pellets doped

in situ with molybdenum nanoparticles (TiO₂-Mo) in the frequency (*f*) range of 40 Hz ≤ *f* ≤ 100 kHz over 353 K ≤ *T* ≤ 883 K. Proton conduction along the TiO₂-Mo surfaces is believed to be responsible for the increase in conductivity.²³ DC magnetron-sputtered 30 nm thick TiO₂ films on *p*-GaAs (110) in the MIS structure showed the universal power-law dependence of AC conductivity $\sigma_{ac}(f, T)$ on *f* (1 kHz ≤ *f* ≤ 1 MHz) for a temperature range of 80 K ≤ *T* ≤ 290 K. The results imply the existence of non-random distribution of hopping centers and surface states at the GaAs/TiO₂ interface.^{24,25} However, reports of AC impedance measurements on MIS structures involving sol–gel TiO₂ films are limited. The present article presents the results of AC electrical measurements to study the dielectric behavior of TiO₂ on (100) *p*-type silicon substrates.

EXPERIMENTAL

By employing a standard photoresist spinner (Microsystem model 4000) at a speed of 5000 rpm, a small volume of the spreading solution was spin-coated on ultrasonically cleaned (100) *p*-type silicon (*p*-Si) substrates to form TiO₂ films in the MIS structure shown in Fig. 1 for AC electrical experimental studies. The as-deposited film was then annealed at 550°C for a further 30 min after slow-temperature ramping from room temperature, and then the film was slowly cooled from 550°C to room temperature in order to achieve the non-porous anatase structure. The film thickness, *d*, was estimated to be 37.45 ± 0.15 nm from the spectroscopic ellipsometric measurement. The 50 nm thick aluminium (Al) contacts were thermally evaporated at a rate of 1 nms⁻¹ under a vacuum of 10⁻⁴ Pa in an Edwards E306A evaporation system in order to complete the MIS structure shown in Fig. 1 for electrical measurements. The active area, *S*, of the device was 7 × 10⁻⁶ m². The details of the preparation of the MIS device structure involving sol–gel derived non-porous TiO₂ films are available from our earlier publications.^{17,20} AC measurements were made on the TiO₂ film as a function of the frequency, *f*, ranging from 20 Hz to 10 MHz using a Hewlett Packard 4284 LCR meter and an Oxford Instruments constant bath cryostat in a microprocessor-controlled measuring system over a temperature (*T*) range of 100–360 K. During the entire measurements, the temperature stability was maintained in the order of ± 0.5 K. The direct current (DC) bias was maintained at zero volts during the measurement to allow the device to be operated in an accumulation regime.

RESULTS AND DISCUSSION

Experimental results are presented along with the interpretation in order to identify the mechanisms responsible for charge transport and dielectric relaxation in Al/TiO₂/*p*-Si/(100) MIS structures. New information has been elucidated from a careful

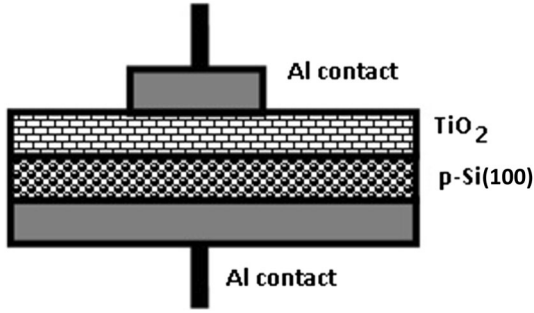


Fig. 1. A schematic diagram of the metal–insulator–semiconductor (MIS) structure containing 37.45 nm thick sol–gel derived TiO_2 film on 305 μm thick (100) p -type silicon substrates sandwiched between two 50 nm thick aluminium (Al) contacts (Al/ p -Si/ TiO_2 /Al).

comparison of the values of physical parameters estimated in this investigation with published data.

Dependence of Conductivity on Frequency and Temperature

Figure 2 presents a set of reproducible curves on a double logarithmic scale showing the frequency dependence of AC conductivity, $\sigma_{ac}(f, T)$, of spun TiO_2 thin films at eight different temperatures, T , between 100 K and 360 K. The temperature dependence of $\sigma_{ac}(f, T)$ became more pronounced for the low-frequency compared to the high-frequency regime with a transition frequency of 100 kHz. Three distinct regimes, characteristic of particular temperature and frequency ranges, are found to exist for conduction behavior. Firstly, a plateau region of frequency conductivity $\sigma_T(0)$ at a temperature T was observed over the low-frequency range $f \leq 100$ kHz for $T \geq 300$ K. The existence of a similar region $\sigma_T(0)$ was observed in the $\sigma_{ac}(f, T)$ curves for the chemical vapour-deposited rutile TiO_2 films on silicon substrates at a temperature of 562 K $\geq T \leq 870$ K and a frequency of $100 \text{ Hz} \geq f \leq 10^4 \text{ Hz}$.²⁶ This value is believed to be contributing to the DC conductivity, and this DC contribution becomes so dominant at $T \geq 1000$ K that $\sigma_{ac}(f, T) = \sigma_T(0)$ over $0.1 \text{ Hz} \geq f \leq 10^6 \text{ Hz}$.²⁷ The second regime is related to an increase in $\sigma_{ac}(f, T)$ from $\sigma_T(0)$ at a cross-over frequency of $f \approx 10$ kHz. Thirdly, $\sigma_{ac}(f, T)$ is found to be increasingly independent of temperature for the high-frequency range $f \geq 100$ kHz. The frequency dependence of conductivity $\sigma_{ac}(f, T)$, for amorphous semiconductors and disordered systems is generally expressed in a form of the universal power law relationship²⁸:

$$\sigma_{ac}(f, T) = \sigma_T(0) + Af^{fs} \quad (1)$$

where A is a constant which is dependent on temperature. The value of the frequency exponent, s , usually lies between the values of zero and unity, and the conduction mechanism can be identified from the temperature-dependent behavior of s . Values of the exponent s as a function of

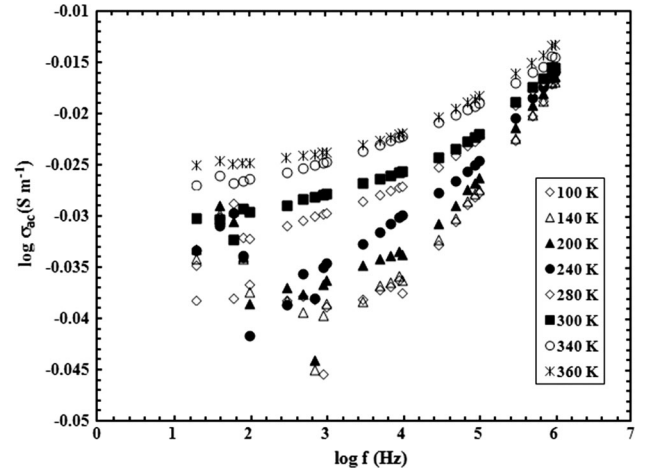


Fig. 2. Frequency dependence of AC conductivity $\sigma_{ac}(f, T)$ for non-porous anatase TiO_2 in the Al/ p -Si/ TiO_2 /Al MIS structure at temperatures increasing from 100 K to 360 K, measured with the stability of ± 0.5 K.

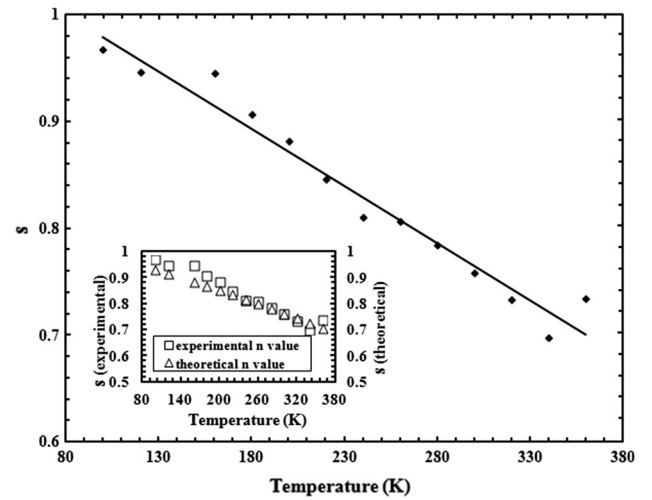


Fig. 3. Frequency exponent s as a function of temperature for the TiO_2 sample and, inset, the best fitted parameters of the values of the effective-hopping barrier W_M and characteristic relaxation time τ_0 .

temperature were calculated from the slope of $\log \sigma_{ac} - \sigma_T(0)$ against a $\log f$ plot, and these values were found to lie in the range of $0.7 \geq s \geq 0.9$ shown in Fig. 3. The exponent s decreases smoothly with increasing T , and is independent of frequency in the investigated frequency range. The frequency-independent conductivity $\sigma_T(0)$ is obtained by extrapolation of the conductivity as it approaches the frequency of zero.

Different theoretical models such as quantum mechanical tunnelling (QMT), classical hopping over barrier (HOB) and correlated barrier hopping (CBH) have been proposed for explaining AC conduction in disordered thin films. The QMT model involving electrons as carriers predicts a

temperature-independent value for s . Small polarons are not believed to be responsible for the AC conduction in TiO_2 , since the increase of s with the rise of temperature T is expected according to this model. According to large polaron QMT models, s decreases to a minimum at a certain temperature and subsequently increases with T , and this is not a valid conduction mechanism in the present investigation. The HOB model predicts a constant value of unity for s since the barrier heights are not dependent on the intersite separation.²⁹ In the CBH models, bipolar hopping takes place involving two electrons or holes over the barrier height W_M separated by a hopping distance R_w . In this model, the exponent n increases to unity as $T \rightarrow 0$ K.³⁰ The observed dependence of s on T for the TiO_2 film under the present investigation is consistent with the prediction of the CBH model. CBH conduction has recently been reported for rutile TiO_2 thin films, deposited by RF magnetron sputtering using a powder target, exhibiting the decrease of s from 0.8 to 0.4 with the increment in T within the range of $660 \text{ K} < T < 740 \text{ K}$.³¹

The dependence of the frequency exponent, s , on temperature T is written in the form³²

$$s = 1 - \frac{6k_B T}{W_M - k_B T \ln\left(\frac{1}{2\pi f \tau_c}\right)} \quad (2)$$

where $k_B = 8.62 \times 10^{-5} \text{ eV K}^{-1}$ is the Boltzmann constant, and W_M and τ_c are the effective hopping barrier and the characteristic relaxation time, respectively. The inset in Fig. 3 shows the experimental and fitting data from Eq. 2 as a function of temperature, and good agreement exists between the theoretical and experimental values of s . The values of 0.79 eV and 1×10^{-8} s are obtained for W_M and τ_0 from the best fitted parameters to Eq. 2.

The hopping distance R_f at a particular frequency and temperature respectively are given in the form³³:

$$R_f = \frac{n_{el} e^2}{\pi \epsilon_0 \epsilon_{ox} [W_M + k_B T \ln(2\pi f \tau_c)]} \quad (3)$$

where n_{el} is the number of electrons involved in the process of hopping between centers and $n_{el} = 1$ and $n_{el} = 2$ for and $n_{el} = 2$ for single polaron and bipolaron hopping, respectively. The quantities $\epsilon_0 = 8.85 \times 10^{-12} \text{ Fm}^{-1}$ and $\epsilon_{ox} = 13$ are the dielectric permittivity of the free space and the TiO_2 film,²⁰ respectively. With the knowledge of W_M and τ_c , the hopping distance R_f is found from Eq. 3 to be 6.7×10^{-11} m.

Dielectric Relaxation

The variation in capacitance C with frequency within the range of $20 \text{ Hz} \geq f \leq 10^6 \text{ Hz}$ at various temperatures for the same MIS structure is shown in Fig. 4. The capacitance is found to be nearly

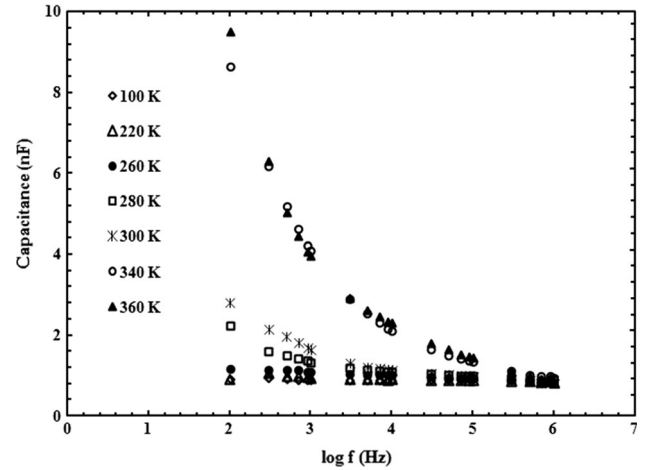


Fig. 4. Frequency dependence of AC capacitance C for TiO_2 the Al/p-Si/ TiO_2 /Al MIS structure at seven temperatures ranging from 100 K to 360 K measured with a stability of ± 0.5 K.

independent of frequency within the temperature range of $T \geq 300$ K, whereas the capacitance decreases rapidly with increasing frequency for $T > 300$ K. The capacitance subsequently approaches the low-temperature value at high frequencies.

The experimental data were analyzed in terms of the real M' and imaginary M'' parts of the complex dielectric modulus. M' and M'' can be defined in terms of the real (ϵ') and imaginary (ϵ'') parts of the complex dielectric constant in the following forms³⁴:

$$M' = \frac{\epsilon'}{(\epsilon'^2 + \epsilon''^2)} \quad (4)$$

$$M'' = \frac{\epsilon''}{(\epsilon'^2 + \epsilon''^2)} \quad (5)$$

$$\text{where } \epsilon' = \frac{C d}{S \epsilon_0} \text{ and } \epsilon'' = \frac{\sigma_{ac}}{2\pi f \epsilon_0} \quad (6)$$

The modulus formalism is regarded as being a useful tool for the interpretation of impedance data over the ranges of frequency and temperature in respect of electrode polarization, grain boundary conduction effect, bulk properties and electrical conductivity.³⁵ Figure 5a and b shows the frequency dispersion of the real and imaginary parts of the dielectric modulus for spun TiO_2 films at selected temperatures. The real part M' in Fig. 5a is found to decrease with the rise in temperature. A nearly temperature-independent maximum asymptotic value was observed at the high frequency, $f \geq 10^5$ Hz, while at a low frequency, $f \leq 10^3$ Hz, high temperature values of M' tend to zero faster than those corresponding to low temperatures, $T \leq 280$ K. As shown in Fig. 5b, the frequency dispersion of the imaginary part M'' of the dielectric modulus exhibits broad asymmetrical peaks,

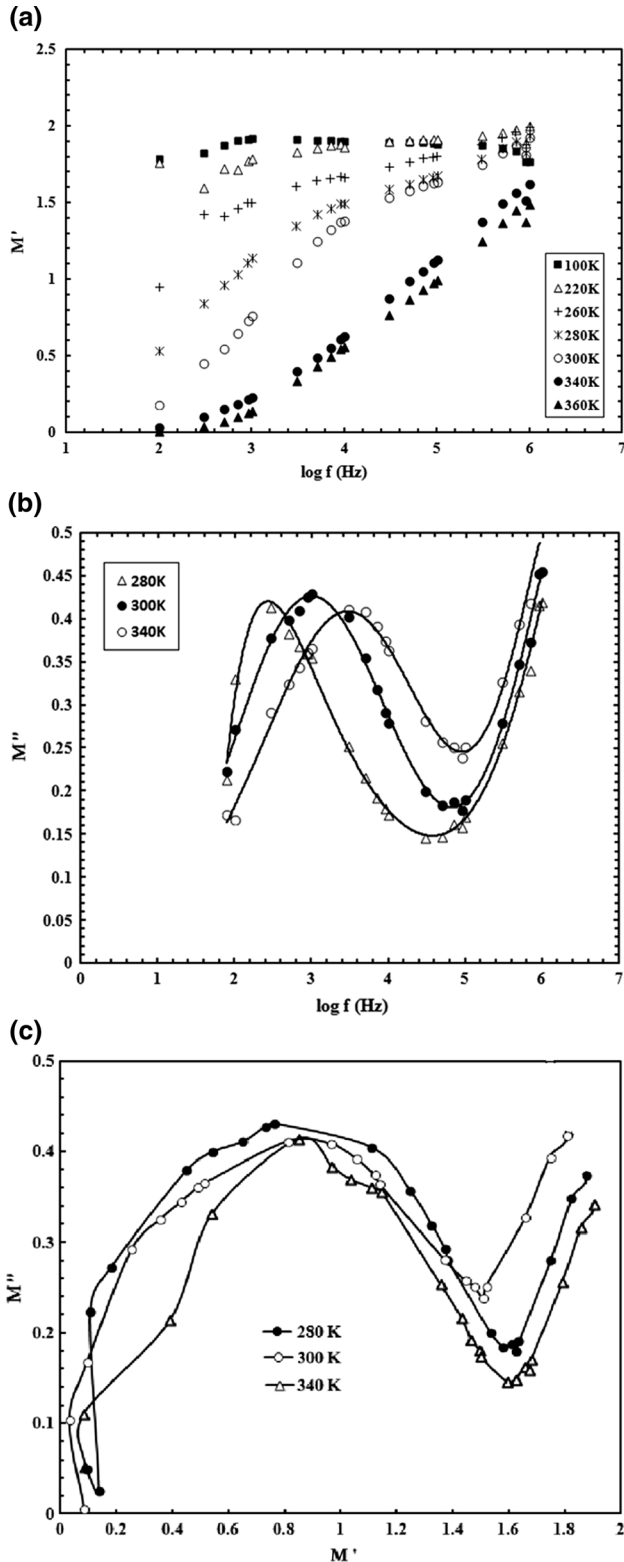


Fig. 5. (a) Real part M' and (b) imaginary part M'' of the dielectric modulus M as a function of frequency at specified temperatures increasing from 100 K to 360 K. (c) Cole-Cole plots of the imaginary part M'' versus the real part M' at the selected temperatures.

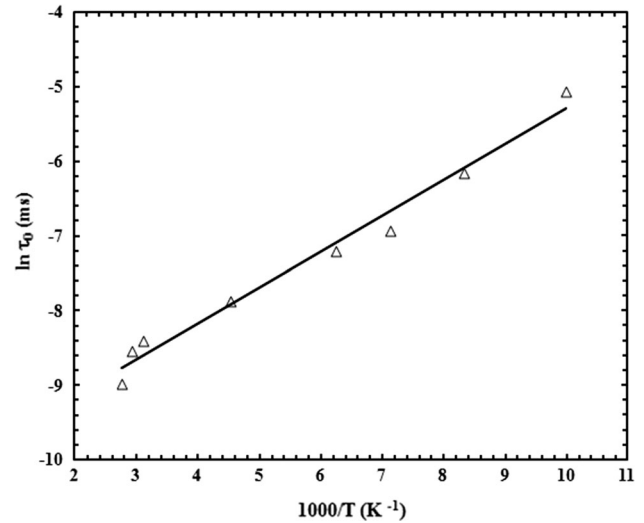


Fig. 6. Arrhenius plot of $\ln \tau_0$ as a function of the inverse of temperature.

systematically shifting towards higher frequencies with the increase of temperature. This implies that the relaxation process is thermally activated and that the charge mobility increases with the rise in temperature, leading to the reduction in dielectric relaxation. The broad nature of the peak represents the distribution of relaxation times $\tau = 1/12\pi f$, and the center of the relaxation peak in Fig. 5b is usually identified by the most probable frequency, f_0 . Charge carriers are mobile over long distances for $f < f_0$, while the charge carriers, being confined within potential wells, are mobile over a short-range potential $f > f_0$. The Debye model is related to an ideal frequency response of localized relaxation, and the absence of overlapping peaks in Fig. 5b indicates that the relaxation process is of a non-Debye type.³⁶ This frequency dispersive behavior is generally described in terms of the non-linear Kohlrausch-Williams-Watts decay function and the stretched constant β , which can in principle be estimated from the full width of the bell-shaped curves in Fig. 5b at half maximum.³⁷ The values of the stretched constant β are found to increase from 0.4359 to 0.8217 as the temperature T is decreased from 320 K to 280 K, and $\beta \neq 1$ is also consistent with non-Debye-type relaxation. Nearly semicircular behavior is observed in the Cole-Cole plot in Fig. 5c of M'' against M' for these temperatures, possibly due to the decrease of modulus resistance. The decreasing temperature produced the relatively small shift of M'' to higher values, and this observation may be interpreted in terms of a gradually increasing grain boundary contribution to conduction.²⁴

For further investigation into the effect of temperature on relaxation, the relaxation time τ_0

($\tau_0 = 1/12\pi f_0$) at the different temperatures was determined from the reciprocal of the peak frequency. The Arrhenius plot of $\ln \tau_0$ as a function of the inverse of temperature is shown in Fig. 6, and the relaxation is believed to be due to a thermally activated process given in the form:

$$\tau_0 = A \exp\left(\frac{E_a}{k_B T}\right) \quad (7)$$

where A is the pre-exponential factor.

The decrease in dielectric relaxation time with the rise of temperature occurs due to the increasing charge mobility at high temperature. The value of the activation energy of dielectric relaxation, E_a , is found to be 41.5 ± 0.99 meV. The dielectric relaxation behavior of electrospun TiO_2 nanofibers between two Al electrodes on glass substrates has been investigated for the frequency range of $1 \text{ Hz} \leq f \leq 1 \text{ MHz}$ at selected temperatures over $333 \text{ K} \leq T \leq 513 \text{ K}$, and the resulting frequency dispersion of the dielectric moduli shows the characteristic features similar to those observed in the present investigation. However, the value of E_a is smaller than that obtained for TiO_2 nanofibers by nearly two orders of magnitude, and the difference may be attributed to the quantum size effect in the nanofibers.³⁸

CONCLUSION

Nanostructured sol–gel derived anatase titania films 37 nm thick were deposited at room temperature on ultrasonically cleaned (100) *p*-silicon substrates to fabricate metal–insulator–semiconductor (MIS) structures using 50 nm thick thermal aluminium contacts. AC impedance measurements were performed on MIS structures at different temperatures ranging from 100 K to 300 K over a frequency range of 20 Hz to 1 MHz. The dependence of AC conductivity on frequency was found to support the universal power law, with the exponent decreasing with the rising temperature. This behavior of the power law exponent was analyzed in terms of the correlated barrier-hopping model. The carrier transport was believed to be thermally-assisted hopping over the potential barrier of 0.79 eV across a distance of 6.7×10^{-11} m between defect centers. The dielectric relaxation was interpreted using the modulus formalism, and the frequency corresponding to the peak of frequency dispersion of the imaginary part of the dielectric moduli was associated with the dielectric relaxation time, and a value of 41.5 meV was obtained for the energy required for a thermally-activated dielectric relaxation process.

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